## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:	)
Joseph J. Kubler et al.	) CERTIFICATE OF ELECTRONIC FILING
Serial No. 10/706,425	<ul><li>) I hereby certify that this correspondence is</li><li>) being sent via electronic filing to the United</li></ul>
Filed: November 12, 2003	) States Patent and Trademark Office on this ) date:
For: Hierarchical Data Collection Network	)
Supporting Packetized Voice	) August 23, 2007
Communications Among Wireless	
Terminals And Telephones	
<del>-</del>	/Philip Henry Sheridan/
Examiner: David Robert Vincent	) Philip Henry Sheridan
	) Reg. No. 59,918
Group Art Unit: 2661	ý
a a	)
Confirmation No.: 8617	

## INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## Dear Sir:

Attached with this electronic submission are the following:

- A completed PTO/SB/08A which has two (2) pages.
- A copy of each printed reference listed in the PTO/SB/08A form is attached. Applicants, however, have not submitted U.S. Patents or other references previously provided to or by the PTO in this application. Seventeen (17) references are attached.

#### FEE DETERMINATION AND PAYMENT

A fee of \$180.00 is due because:

- The first Office action on the merits has been received by applicant(s).
- Applicant(s) believe(s) that this statement and attachments are being filed before any final action has been mailed by the PTO; before a notice of allowance has

issued; and prior to any other action that would close prosecution in the application. The basis of this belief is that no final action, no notice of allowance, and no other action that would close prosecution of the application appear to have been received by the undersigned to date.

The Commissioner is hereby authorized to charge any fees which are presently required, or credit any overpayment, to Deposit Account No. 13-0017.

# REQUEST FOR CONSIDERATION

This paper and attachments are believed to be entitled to consideration under 37 C.F.R. § 1.97, based on the facts stated above.

The owner of record of the present application, Broadcom Corporation, is currently involved in a patent infringement action with Qualcomm, Inc., Civil Action No. 05-467, pending in the Central District of California.

The references being submitted have been either cited, produced or relied upon by Qualcomm thus far during the above-mentioned litigation. This electronic submission is in no way intended as an admission that the submitted references constitute prior art under any subsection of 35 U.S.C. §102 or §103. Applicant expressly retains the right to argue that any of the cited references are not indeed prior art or to take any actions necessary to remove any of the cited references from the available prior art.

The Examiner is requested to initial the attached PTO/SB/08A and return a copy to the applicants to indicate consideration of the attached references.

Respectfully submitted,

Date: August 23, 2007

/Philip Henry Sheridan/
Philip Henry Sheridan
Reg. No. 59,918

McAndrews, Held & Malloy, Ltd. 500 West Madison Street, 34<sup>th</sup> Floor Chicago, Illinois 60661 (T) 312 775 8000 (F) 312 775 8100

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Substitute for form 14404/DTO				Complet	Complete if Known		
Substitute ic	Substitute for form 1449A/PTO			Application Number	10/706,425		
•	INFORMATION	ON DISCLOSI	JRE	Filing Date	November 12, 2003		
STATEMENT BY APPLICANT				First Named Inventor	Joseph J. Kubler et al.		
	SIAIEMENI	DI APPLICA	AIN I	Group Art Unit	2661		
				Examiner Name	David Robert Vincent		
(use as many sheets as necessary)				Attorney Docket No.	14364US11		
Sheet	1	of	2	Attorney Docket No.	143040311		

	U.S. PATENT DOCUMENTS				
Examiner Cite Document Number Publication Date		Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant		
Initial*	No. <sup>1</sup>	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	MM-DD-YYYY Applicant of Cited Document	Figures Appear
	A12 5,335,276 08/02/		08/02/1994	Thompson	
	A13	5,764,736	06/09/1998	Shachar	
	A14	5,912,882	06/15/1999	Yafuso	
	A15	4,914,586	04/03/1990	Swinehart	
	A16	6,006,100	12/21/1999	Koenck et al.	
	A17	5,682,379	10/28/1997	Mahany et al.	
A18 6,359,872		03/19/2002	Mahany et al.		

	FOREIGN PATENT DOCUMENTS					
Examiner Cite		Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,	<b>-6</b>
Initials*	No. 1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	1
	B4	WO 92/02084	02/06/1992	Koenck		

	OTHER ART NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*  Cite No.1  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			
	C10 Defendant And Counterclaim Plaintiff Qualcomm Incorporated's Final Invalidity Contentions, With Exhibit C, 11/21/2006		
	C12	HARRICK et al., "Multimedia Conferencing In The Etherphone Environment," IEEE Computjer, Vol. 24, No. 10, 10/1991	
	C13	SWINEHART et al., "Adding Voice To An Office Computer Network", Proceedings of IEEE Globecom '83, 02/1984	
	C14 ZELLWEGER et al., "An Overview Of The Etherphone System And Its Applications,"  Proceedings of the 2 <sup>nd</sup> IEEE Conference on Computer Workstations, 1988		
	C17	Qualcomm's STU-III Service Option for Wideband Spread Spectrum Systems (Proposed Draft Text), Version 3.05, 06/02/1994, 457QB00835840-77	
	C18	Qualcomm's STU-III Service Option for Wideband Spread Spectrum Systems (Proposed Draft Text), Version 2.09, 03/06/1995, 457QB00836280-319	
	C19	Qualcomm's STU-III Service Option for Wideband Spread Spectrum Systems (Proposed Draft Text), Version 3.02, 04/05/1995, 457QB00836414-53	

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	EXAMINER	DATE CONSIDERED	
١	SIGNATURE		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

If you need assistance in completing the form, call 1-800-PTO-9199 (1800-786-9199) and select option 2.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450 Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. Send TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

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Complete if Known

Application Number 10/706,425

Filling Date 2003

First Named Inventor Joseph J. Kubler

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(use as many sheets as necessary))

Of

First Named Inventor

Group Art Unit
Examiner Name

Joseph J. Kubler et al.

2661

David Robert Vincent

Attorney Docket Number

Joseph J. Kubler et al.

2461

David Robert Vincent

	OTHER ART NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			
	C20	Qualcomm's STU-III Service Option for Wideband Spread Spectrum Systems (Proposed Draft Text), Version 3.04, 05/08/1995, 457QB00836798-834			
	C21	Qualcomm's STU-III Service Option for Wideband Spread Spectrum Systems (Proposed Draft Text), Version 3.04a, 06/02/1995, 457QB00836532-69			
	C22	WEISSMAN et al., End-To-End Security For Commercial PCS Networks, 04/23/1995, 457QB00836748-56			
	C23	Defendant Qualcomm Incorporated's Supplemental Responses To Plaintiff Broadcom Corporation's First Set Of Interrogatories (Nos. 1-19)			
	C24	Defendant Qualcomm Incorporated's Second Supplemental Responses To Plaintiff Broadcom Corporation's First Set Of Interrogatories (Nos. 1-19), 03/01/2006			
-	C25	Defendant Qualcomm Incorporated's Third Supplemental Responses To Plaintiff Broadcom Corporation's First Set Of Interrogatories (Nos. 5, 10)			
	C26	Defendant Qualcomm Incorporated's Fifth Supplemental Responses To Plaintiff Broadcom Corporation's First Set Of Interrogatories (Nos. 2-4, 60 And First Supplemental Response To Interrogatory No. 18			
	C27	DeTreville et al., "A Distributed Experimental Communication System", IEEE Journal on Selected Areas in Communications, Vol. SAC-1, No.6, Dec. 1983.			
	C28	FYE, "Expert Report of Donald M. Fye Regarding U.S. Patent No. 6,389,010", with Appendix A and Exhibits 1-10, and 12-18, 12/22/2006			

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Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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